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Dated: January 19, 2005

Signature: 

(Jeffrey S. Dickey)

Docket No.: SONY 3.0-022
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application of:
Ludtke et al.

Application No.: 10/014,960

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: J. M. Winter

For: METHOD OR SYSTEM FOR EXECUTING
DEFERRED TRANSACTIONS

MS Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the reference listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of the reference is not enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: January 19, 2005

Respectfully submitted,

By 

Jeffrey S. Dickey

Registration No.: 35,858

LERNER, DAVID, LITTENBERG,

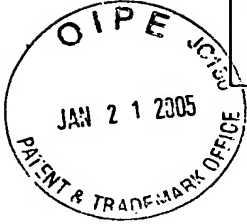
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Attorney for Applicant



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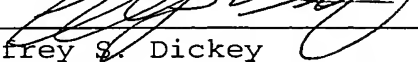
CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E)(2)

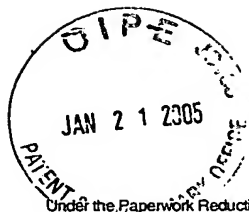
Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (2), undersigned counsel hereby certifies that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application, or, to the knowledge of undersigned counsel, after making reasonable inquiry, no such item of information was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

Dated: January 19, 2005

Respectfully submitted,

By 
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|--|---|----|---|--------------------------|-------------------|
| Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | Complete if Known | |
| | | | | Application Number | 10/014,960 |
| | | | | Filing Date | December 11, 2001 |
| | | | | First Named Inventor | Harold A. Ludtke |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | J. M. Winter |
| Sheet | 1 | of | 1 | Attorney Docket Number | SONY 3.0-022 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | |
| | AA | US-5,537,314 | 07-16-1996 | MARK W. KANTER | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
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